

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Alan H. KARP, et al.

Confirmation No.:

Application No.: 09/539,558

Examiner: J. Ouellette

Filing Date: March 31, 2000

Group Art Unit: 3629

Title: APPARATUS AND METHOD FOR SELLING PERSONAL INFORMATION

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

RECEIVED

OCT 31 2002

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

GROUP 3600

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
(X) No additional fee (Address envelope to "Box Non-Fee Amendments")  
( ) Other: (fee \$ )

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	20	MINUS	20	= 0	X \$18	\$ 0
INDEP. CLAIMS	3	MINUS	3	= 0	X \$84	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$280	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$400.00	3RD MONTH \$920.00	4TH MONTH \$1440.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16, 1.17, 1.19, 1.20 and 1.21. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_

Respectfully submitted,

Alan H. KARP, et al.

By: \_\_\_\_\_

Sean S. Wooden

Attorney/Agent for Applicant(s)

Reg. No. 43,997

Date: 10/30/2002

#6A w  
11-16-02  
Fee OK



Attorney Docket No.: 10992073-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alan H. KARP, et al.

Examiner: J. Ouellette

Serial No.: 09/539,558

Art Unit: 3629

Filed: March 31, 2000

For: APPARATUS AND METHOD FOR SELLING PERSONAL  
INFORMATION

**Box Non-Fee Amendment**  
Commissioner of Patents  
Washington, D.C. 20231

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AMENDMENT AND RESPONSE

**GROUP 3600**

Sir:

In response to the Office Action dated July 30, 2002, please amend the above-identified application as follows:

In the Claims:

Please amend claims 1, 8, and 14 as follows.

1. (Amended) A method for selling personal information, comprising:  
receiving personal information of an owner of the personal information;  
specifying a policy related to sale of the personal information;  
validating the personal information;  
receiving a request for the personal information from a requestor;  
enabling the requestor to decline receipt of the personal information based upon a cost of the personal information; and  
selectively providing the validated personal information in response to the request and based upon the policy and whether the requestor has declined receipt of the personal information based upon the cost.
8. (Amended) A method for selling personal information, comprising:  
receiving from a requestor a request for personal information of a particular owner of the personal information;  
specifying a policy related to sale of the personal information;  
validating the personal information through a trusted third party;